

Contents lists available at ScienceDirect

# Sleep Medicine

journal homepage: www.elsevier.com/locate/sleep



# Original Article

# Continuous positive airway pressure improves work of breathing in pediatric chronic heart failure



Alessandro Amaddeo <sup>a, b, \*</sup>, Diala Khraiche <sup>c</sup>, Sonia Khirani <sup>a</sup>, Mathilde Meot <sup>c</sup>, Jean-Philippe Jais <sup>e, f</sup>, Damien Bonnet <sup>c, d</sup>, Brigitte Fauroux <sup>a, b</sup>

- <sup>a</sup> Pediatric Noninvasive Ventilation and Sleep Unit, Hôpital Necker-Enfants Malades F-75015, Paris, France
- <sup>b</sup> Université de Paris, VIFASOM F-75004, Paris, France
- c Pediatric Cardiology, Centre de Référence des Malformations Cardiaques Congénitales Complexes-M3C, Hôpital Necker-Enfants Malades, Paris, France
- <sup>d</sup> Université de Paris, Paris, France
- e INSERM U1163, Imagine Institute, Laboratory of Human Genetics of Infectious Diseases, Necker Branch, Paris, France
- f AP-HP, Hôpital Necker Enfants Malades, Biostatistics Unit, Paris, France

#### ARTICLE INFO

# Article history: Received 7 October 2020 Received in revised form 31 March 2021 Accepted 3 April 2021 Available online 19 April 2021

Keywords:
Sleep
Work of breathing
Continuous positive airway pressure
Dilated cardiomyopathy
Single ventricle
Valvular disease

# ABSTRACT

*Background*: Sleep disordered breathing (SDB) is common in adults with chronic heart failure (CHF), but its prevalence in children remains unclear. Continuous positive airway pressure (CPAP) is the treatment of SDB but deleterious hemodynamic effects have been reported.

Methods: We prospectively analyzed SDB in children with CHF and the effect of CPAP on work of breathing (WOB) and cardiac index (CI). Children aged 6 months to 18 years old with CHF due to: 1) dilated cardiomyopathy (DM) with an ejection fraction < 45%, 2) functional single ventricle (SV) or 3) aortic or mitral valve disease awaiting surgery (VD) were eligible for the study. A polysomnography (PSG), measurement of WOB and CI during spontaneous breathing (SB) and CPAP (6, 8 and 10 cmH<sub>2</sub>O) were performed.

*Results*: Thirty patients with mean age of  $6.4 \pm 5$  years were included (16 DM 16, 10 SV, 4 LV). Twenty (73%) patients had a normal sleep efficiency. Median apnoeas hypopnea index (IAH) was within normal range at 1.6 events/h (0, 14) events/hour. Only one patient had central sleep apnoeas, none had Cheyne-Stokes respiration, and 3 patients had an obstructive AHI between 5 and 10 events/hour. Optimal CPAP level decreased WOB (p = 0.05) and respiratory rate (p = 0.01).

*Conclusions*: Severe SDB was uncommon in children with CHF. However, CPAP may be beneficial by decreasing WOB and respiratory rate without deleterious effects on CI.

© 2021 Elsevier B.V. All rights reserved.

# 1. Introduction

Sleep disordered breathing (SDB), such as obstructive sleep apnoea (OSA), central sleep apnoea (CSA), or Cheyne Stokes respiration is highly prevalent in adults with chronic heart failure (CHF) [1]. OSA is common in patients with CHF and preserved ejection fraction (EF) (69–81%) [2] while central sleep apnoea (CSA) and Cheyne-Stokes respiration are predominantly observed in patients with lower EF (25–40%) [3]. SDB has deleterious cardiac effects via recurrent oxygen desaturation, increased sympathetic tonus and

E-mail address: alessandro.amaddeo@aphp.fr (A. Amaddeo).

left ventricular afterload [4], and contributes to the high mortality rate in patients with CHF [5]. In adults with CHF, treatment of OSA with continuous positive airway pressure (CPAP) has shown to increase survival [1], whereas the benefit of CPAP and adaptive servo ventilation (ASV) are less conclusive for CSA [6]. CPAP seems to have a patient-dependent hemodynamic effect [4,7]. It may have a positive hemodynamic effect by reducing left ventricular afterload [7], in particular in patients with OSA [8], and by increasing cardiac output (CO) in case of high filling pressures [9]. However, CPAP may also decrease CO when filling pressures are low [10]. Finally, CHF is also associated with an increase of resistive and elastic work of breathing (WOB) due to an increased hysteresivity of the airways and lung tissue and to a combined decrease in the compliance of the lungs and chest wall [11]. CPAP has shown to reduce the WOB in acute HF [12] and to increase exercise endurance in patients with CHF [13].

<sup>\*</sup> Corresponding author. Pediatric noninvasive ventilation and sleep unit, AP-HP, Hôpital Necker-Enfants malades, 149 rue de Sèvres 75015, Paris, France. Fax:  $+33\,1\,71\,19\,57\,70$ .

A. Amaddeo, D. Khraiche, S. Khirani et al. Sleep Medicine 83 (2021) 99–105

List of a	abbreviation	OSA PCWP	obstructive sleep apnoea pulmonary capillary wedge pressure		
AHI	Apnoea hypopnoea index	Pes	oesophageal pressure		
ASV	adaptive servo ventilation	Pgas	gastric pressure		
CHF	chronic heart failure	PSG	polysomnography		
CI	cardiac index	SDB	sleep disordered breathing		
CO	cardiac output	SB	spontaneous breathing		
CPAP	continuous positive airway pressure	SE	sleep efficiency		
CSA	central sleep apnoea	SV	single ventricle		
DM	dilated cardiomyopathy	TBE	total energy expenditure		
EEG	electroencephalogram	VD	valve disease		
EF	ejection fraction	WOB	work of breathing		

Many treatments of paediatric CHF are based on extrapolation of data from studies on adults. The prevalence, prognostic value and effect of treatment of SDB is largely unknown in the paediatric population. Data on WOB in children with CHF are missing, however this information seems important for the potential benefit of CPAP in children with CHF.

The aim of our study was therefore to determine if children with various causes of CHF have SBD and if CPAP therapy can improve their WOB.

#### 2. Material and methods

#### 2.1. Patients

Children aged 6 months to 18 years old, followed at the paediatric cardiology department of Necker university Hospital between October 2015 to September 2017, were considered eligible for the study if they had a:

- 1. Dilated cardiomyopathy (DM) with an EF of less than 45%.
- 2. Functional single ventricle (SV) in stage 2 or 3 of palliation.
- 3. Aortic or mitral valve disease (VD) awaiting surgery.

Exclusion criteria were an episode of acute heart failure requiring inotropes during the previous 30 days or a contraindication to CPAP (acute otitis, acute or chronic sinusitis, epistaxis). Anthropometric and clinical data were collected. Written informed consent was obtained for all parents or legal guardians and for all children older than 6 years. The study was conducted in agreement with the French regulations and received appropriate legal and ethical approval from the ethical committee (CPP Ile de France 2, n° P141003 accepted on the 5th October 2015).

# 2.2. Patient and public involvement

Patients were not involved in the design and recruitment of the study.

# 2.3. Polysomnography

An in-hospital polysomnography (PSG, Alice 6 LDxS, Philips Respironics, Carquefou, France) was performed during the first night. Sleep quality, sleep stages and cardiorespiratory parameters were scored manually using the criteria of the American Academy of Sleep Medicine (AASM) [14]. Full methods are available online.

# 2.4. Measurement of the work of breathing

The measurement of WOB by means of the measurement of oesophageal (Pes) and gastric (Pgas) pressures during spontaneous breathing (SB) and CPAP was performed on the following morning as described elsewhere [15]. Full methods for WOB measurement are available online.

# 2.5. Echocardiographic evaluation

Standardized complete transthoracic echocardiographic examinations were performed at baseline according to established guidelines [16] using a Vivid 9 system (GE Healthcare, Norway). Left ventricle ejection fraction was assessed by biplane Simpson's rule. Cardiac output was assessed using the Doppler VTI method [17]. Cardiac output was measured at baseline and for each level of CPAP pressure, and cardiac index (CI) was calculated.

# 2.6. Effect of CPAP

After 20 min of calm and stable breathing in room air in the half-seated position, respiratory and echocardiographic parameters were recorded during 5 min. Afterwards, the patient received CPAP (BiPAP A40, Philips Respironics, Murrysville, PA, USA) at a starting pressure of 6 cmH<sub>2</sub>O with an appropriate nasal or nasobuccal mask. When a calm and stable breathing was obtained for at least 15 min with CPAP, the same cardiorespiratory parameters were recorded during 5 min. The same recordings were then made with a CPAP pressure of 8 and 10 cmH<sub>2</sub>O. "Optimal CPAP" was defined as the CPAP pressure associated with the greatest reduction in WOB.

# 2.7. Collection and analysis of data

An alphanumerical code was attributed to each patient (initials of name and surname and consecutive number of enrolment). Data regarding PSG, transthoracic echocardiographic and WOB were inserted in a Microsoft Excel® file. An investigator blinded to patients' identity and type of CHF analysed the WOB tracings and determined the "optimal CPAP". Only WOB data regarding "optimal CPAP" were used for statistical analysis.

# 3. Statistical analysis

Sample size estimation was based on the hypothesis of a mean reduction of 30% of the WOB (delta Pes), with a significant level of 0.05% and a power of 80%. Forty-five patients were expected to be included in the study (15 patients per group).

Data are reported as mean and standard deviation for continuous variables and as total number and percentage for categorical variables. Comparisons between the baseline and the "optimal CPAP" level were made using paired Student t-tests for continuous data and McNemar's chi-squared tests with continuity correction for qualitative ones. All computations were performed with the R statistical environment V3.6.0 (https://www.R-project.org/). P-values less than 0.05 were considered as statistically significant.

#### 4. Results

# 4.1. Patients

Two families refused to participate to the study, which left 30 included patients: 16 patients with a DM, 10 with SV and 4 with a VD.

Median age was 4.8 (0.7—17.0) years (Table 1). Two patients with VD had mitral stenosis, one mitral insufficiency and one aortic insufficiency. Regarding comorbidities, one patient with DM had also ectodermal dysplasia and one with VD had also a Down syndrome.

# 4.2. Polysomnographic data

PSG data are shown in Table 2. One patient refused the EEG recording but respiratory parameters were included for analysis. Median sleep efficiency (SE) was 87% (56, 97), with 21 children (72%) having a SE > 80%. Median percentage of wake after sleep onset (WASO) was 13% (3, 44). Sleep stages were normal for patients' age.

Median AHI was normal 1.6 events/h (0, 14), with a median central apnoea index (CAI) of 0.2 events/h (0, 7). No patient

**Table 1**Demographic and clinical data of the patients.

	DM  (n=16)	SV (n = 10)	VD (n = 4)	Total  (n=30)
Age (years)	4.1 (0.7, 14.0)	9.4 (0.8, 17.0)	2.8 (1.0, 4.5)	4.8 (0.7, 17.0)
Female/male	8/8	4/6	3/1	15/15
BMI (z score)	-0.2(-2.7, 2.6)	-1 (-2.9, 0.3)	0.2(-0.4, 0.6)	-0.3(-2.0, 2.6)
NYHA, n (%)	• • •	• • •	• • •	• • •
I	4 (25%)	2 (20%)	2 (50%)	8 (27%)
II	3 (19%)	5 (50%)	1 (25%)	9 (30%)
III	8 (50%)	2 (20%)	1 (25%)	11 (37%)
IV	1 (6%)	1 (10%)	0 (0)	2 (7%)
EF (%)	33 (13, 53)	49 (15, 62)	59 (31, 84)	37 (13, 84)
SF	0.17 (0.04, 0.33)	N/A	0.34 (0.32, 0.51)	0.19 (0.04, 0.51)
Antiarrhythmic drugs, n (%)	4 (25%)	3 (30%)	0 (0)	7 (23%)
ACE inhibitors, n (%)	11 (69%)	7 (70%)	0 (0)	18 (60%)
Beta blockers, n (%)	10 (62%)	4 (40%)	0	14 (47%)
Diuretics, n (%)	10 (62%)	5 (50%)	1 (25%)	16 (53%)

Data are given as median and range.

DM = dilated cardiomyopathy, SV = single ventricle, VD = valve disease, BMI = body mass index  $(kg/m^2)$ , NYHA = New York Heart Association classification, EF = ejection fraction, SF = shortening fraction, N/A = not applicable, Angiotensin-converting enzyme = ACE.

**Table 2** Polysomnographic data.

	DM (n = 16)	SV(n=10)	$VD\ (n=4)$	$Total\ (n=30)$
TST (min) <sup>a</sup>	447 (326, 533)	430 (216, 518)	445 (391, 497)	444 (216, 533)
SE (%)	85 (56, 93)	86 (76, 97)	93 (75, 96)	87 (56, 97)
SE > 80% (n, %)	10 (67%)	8 (80%)	3 (75%)	21 (72%)
SL (min)	5 (0, 24)	6 (0, 25)	6 (0, 9)	6 (0, 25)
WASO (%)	16 (7, 44)	13 (3, 23)	7 (4, 25)	13 (3, 44)
Arousal index (events/h)	5 (1, 12)	6 (4, 13)	6 (3, 8)	6 (1, 13)
N1 (%)	3 (2, 20)	3 (1, 22)	7 (2, 13)	3 (1, 22)
N2 (%)	47 (35, 58)	52 (42, 62)	49 (29, 53)	50 (29, 62)
N3 (%)	24 (17, 40)	23 (16, 44)	22 (19, 27)	23 (16, 44)
REM (%)	21 (8, 34)	19 (1, 27)	24 (21, 31)	21 (1, 34)
AI (events/h)	0.8 (0.2, 8.7)	0.8 (0, 7.2)	1.3 (1, 4.2)	1 (0, 8.7)
AHI (events/h)	1.6 (0, 10)	1.0 (0.4, 14.3)	2.5 (1, 5.2)	1.6 (0, 14.3)
Number of patients with, n (%)	,	, ,	,	, ,
<1.5 events/h	7 (44%)	6 (60%)	1 (25%)	14 (47%)
1.5–5 events/h	7 (44%)	3 (30%)	2 (50%)	12 (40%)
5-10 events/h	1 (6%)	0 (0)	1 (25%)	2 (7%)
>10 events/h	1 (6%)	1 (10%)	0 (0)	2 (7%)
CAI (events/h)	0.3 (0-2.9)	0.2 (0, 7)	0.8 (0.5, 3)	0.2 (0, 7)
Mean SpO <sub>2</sub> (%)	98 (94, 100)	92 (82, 97)	97 (95, 99)	97 (82, 100)
Minimal SpO <sub>2</sub> (%)	90 (77, 97)	86 (72, 92)	91 (80, 94)	88 (72, 97)
Percentage of TST with SpO <sub>2</sub> < 90 (%)	0 (0)	4 (0, 100)	0 (0, 1)	0 (0, 100)
ODI (events/h)	4 (0, 12)	5 (1, 16)	4 (2, 21)	4 (0, 21)
Mean PtcCO <sub>2</sub> (mmHg)	39 (30, 45)	36 (29, 45)	38 (35, 41)	38 (29, 45)
Maximal PtcCO <sub>2</sub> (mmHg)	42 (34, 51)	39 (30, 51)	41 (40, 44)	41 (30, 51)
Percentage of TST with PtcCO <sub>2</sub> > 50 mmHg	0 (0)	0 (0, 20)	0 (0)	0 (0, 20)

Data are given as median and range.

DM = dilated cardiomyopathy, SV = single ventricle, VD = valve disease, TST = total sleep time, SE = sleep efficiency, SL = sleep latency, WASO = wake after sleep onset, VS = valve disease, VS = valve dis, VS = valve disease, VS = valve disease, VS = valve disea

<sup>&</sup>lt;sup>a</sup> One patient did not have the EEG recording.

presented Cheyne-Stokes respiration. Two patients had an AHI between 5 and 10 events/h: one patient with DM had an obstructive AHI (OAHI) of 7.1 events/h with a CAI of 0.2 events/h and one patient with LV had an OAHI of 2.5 events/h with a CAI of 2.7 events/h. Only 2 patients had an AHI >10 events/h; one with DM (with an OAHI of 10 events/h and a CAI of 0 events/h) who was referred for ENT surgery because of adenotonsillar hypertrophy and one with SV (with an OAHI of 7.1 events/h and CAI of 7.3 events/h).

# 4.3. WOB during SB and during CPAP

Three patients refused the oesogastric catheter and for 3 other patients, oesogastric tracings were not analysable due to the agitation of the child. One patient did not tolerate CPAP and therefore only WOB during SB was retained for analysis. Median WOB during SB was moderately increased (Table 3 and Fig. 1). The median "optimal CPAP" level was 8 cmH<sub>2</sub>O. For the DM group, "optimal CPAP" was associated with a significant decrease in WOB (assessed on delta Pdi, and PTPdi/min) and respiratory rate, with no significant change in CI (Table 3 and Fig. 1). For the SV group, "optimal CPAP" was also associated with a significant decrease in WOB (assessed on delta Pes, delta Pdi, and PTPes/min) (Table 3 and Fig. 1). No significant results were observed in the VD group.

# 4.4. Follow-up and clinical outcome

One patient with DM was started on long term CPAP because of a very significant improvement of WOB and subjective dyspnoea with CPAP. CPAP was continued until successful heart transplantation 22 months later. Four other patients, 3 with DM and one with SV, had successful heart transplantation between 3 months and 3 years after the study. Three patients with DM died within the two years following the study. All other patients were alive after two years.

# 5. Discussion

Our study is the first to analyze sleep quality, sleep architecture, and SDB in children with different types of CHF and to measure WOB during SB and CPAP. Sleep architecture was preserved, and sleep quality was moderately reduced, with only 3 patients having OSA and/or CSA and no patient having Cheyne-Stokes respiration. WOB was moderately increased during SB and improved significantly with CPAP therapy without a deleterious effect on CI.

In contrary to adult patients with CHF, SE was globally preserved in these children with only 9 (23%) children having a SE less than 80%. This percentage is much lower than observed in adults with CHF who reported 63%—81% poor subjective sleep quality via self-administered questionnaires [18,19]. A treatment with beta-blockers has shown to be associated with sleep disturbance in adult patients [20] which may be explained by the inhibition of melatonin release via interaction with adrenergic beta1-receptors [21]. Fourteen of our patients were treated with beta-blockers. However, among the 8 patients having a SE below 80%, only two patients with DM were treated with beta-blockers at the time of the PSG. Their use does thus not seem to be associated with a low SE in our patients. A more plausible hypothesis is that the moderate reduction in % of N3 and REM sleep observed in the present study is related to a well-known first night in hospital effect [22].

In contrary to adult patients with CHF, the prevalence of severe SDB in our population was low with no patient having Cheyne-Stokes respiration. Among the 16 patients who had SDB in our study; 15 had OSA defined as an AHI > 1.5/h, 9 in the CM group, 3 in the SV and the VD group, respectively. Three patients had an OAHI between 5 and 10 events/hour and only one patient had CSA. One patient with DM had an AHI of 7.3 events/h with a low CAI (0.2 events/h), not explained by tonsillar hypertrophy. She died from CHF 18 months after the study. Another patient with DM had an AHI of 10 events/h with tonsillar hypertrophy and was referred for ENT surgery. Finally, one patient with SV had a global AHI of 14.3 events/h, with a CAI of 7.3 events/h and an obstructive AHI of 7.3 events/h. This was also the only patient with a CAI >5/h which is considered as the cut-off defining CSA in children [23]. A CSA prevalence of 19% has been reported in a series of 37 children with CHF but the cut-off used to define CSA was 1 event/h which is lower than the recommended cut-off of >5 events/h [24]. Three (8%) patients had Cheyne-Stokes respiration but all these patients were older than 12 years of age with two who had a heart transplant in the following two years. Two other cases of Cheyne-Stokes respiration have been reported in a 5-month-old girl with left ventricular non-compaction and an EF of less than 10% who was placed on Berlin EXCOR LV assist device [25], and a 13 year-old boy with DM and progressive deterioration of EF who had a heart transplant with complete resolution of Cheyne-Stokes respiration [26].

Data from literature confirm the higher prevalence of SDB in children with HF, but with an overall tendency to mild or moderate OSA. A higher prevalence of SDB has been reported in patients with severe HF undergoing heart transplantation. In a group of 50

**Table 3**Work of breathing during spontaneous breathing and optimal CPAP.

	DM (n = 13)			SV (n = 7) $VD (n = 4)$		$VD \ (n=4)$			$Total\ (n=24)$			
	SB	CPAP	P	SB	CPAP	P	SB	CPAP	P	SB	CPAP	P
SpO <sub>2</sub> (%)	96 (95, 100)	98 (94, 100)	0.5	92 (83, 95)	95 (80, 96)	0.9	97 (96, 99)	97 (95, 97)	0.8	95 (83, 100)	96 (80, 100)	0.3
PtcCO <sub>2</sub> (mmHg)	35 (25, 40)	35 (26, 39)	0.8	32 (28, 37)	31 (27, 32)	0.1	34 (32, 35)	36 (35, 36)	0.2	33 (25, 40)	33 (26, 39)	0.9
RR (breaths/min)	26 (12, 66)	22 (13, 42)	0.01	24 (16, 36)	24 (20, 40)	0.4	38 (24, 72)	25 (21, 30)	0.1	26 (12, 72)	23 (13, 42)	0.2
HR (beats/min)	101 (66, 158)	92 (70, 154)	0.2	92 (43, 120)	96 (44, 126)	0.3	94 (90, 99)	97 (90, 104)	1	99 (45, 158)	93 (42, 154)	0.3
Delta Pes (cmH <sub>2</sub> O)	10 (4, 25)	8 (5, 13)	0.2	13 (11, 25)	10 (7, 12)	0.02	6 (4, 17)	6 (5, 8)	1	11 (4, 25)	8 (5, 13)	0.01
Delta Pgas (cmH2O)	3 (1, 6)	2 (0, 4)	0.01	3 (1, 6)	2 (1, 4)	0.1	2 (2,2)	2 (1,5)	1	3 (1, 6)	2 (0, 5)	0.01
Delta Pdi (cmH2O)	13 (5, 27)	9 (5, 14)	0.02	14 (12, 28)	12 (8, 15)	0.03	8 (6, 18)	7 (6, 9)	0.6	13 (5, 28)	10 (5, 15)	0.01
PTPes/min (cmH <sub>2</sub> O.s/min)	150 (72, 369)	113 (48, 227)	0.2	217 (62, 381)	137 (45, 181)	0.01	102 (35, 246)	79 (56, 96)	0.6	198 (35, 381)	121 (45, 227)	0.04
PTPdi/min (cmH <sub>2</sub> O.s/min)	233 (59, 397)	121 (42, 260)	0.02	248 (110, 291)	186 (63, 307)	0.4	152 (116, 284)	91 (67, 108)	0.1	227 (59, 397)	120 (42, 307)	0.03
CI (L/min/m <sup>2</sup> )	3.2 (1.6, 4.8)	2.9 (1.6, 5)	0.8	3.1 (2.8, 5.2)	3.4 (2.7, 5.6)	0.1	4.6 (3.4, 5.1)	4.8 (4, 5.2)	0.4	3.4 (1.6, 5.2)	3.4 (1.6, 5.6)	0.2

Data are given as median and range. Bold and italic are statistically significant p values.

DM = dilated cardiomyopathy, SV = single ventricle, VD = valve disease, SB = spontaneous breathing, CPAP = continuous positive airway pressure,  $SpO_2 = pulse oximetry$ ,  $PtcCO_2 = transcutaneous carbon dioxide$ , RR = respiratory rate, HR = heart rate, Pes = esophageal pressure, Ptropositive pressure, Ptroposite pressure, Ptropositive pressure, Ptropositive pressure,

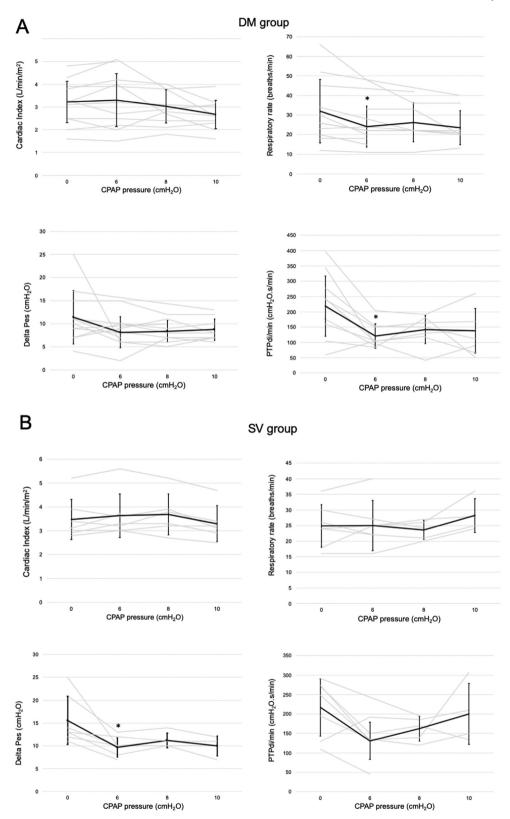


Fig. 1. Cardiac index, respiratory rate, Pes and PTPdi for each CPAP level in the DM group (n = 12) A and in the in the SV group (n = 6) B. Grey lines represent each patient, black line and error bars represents mean with their 95% confidence interval. Abbreviations: CPAP = continuous positive airway pressure, DM = dilated cardiomyopathy, CI = cardiac index, RR = respiratory rate, Pes = esophageal pressure, PTPdi: diaphragmatic pressure-time.

A. Amaddeo, D. Khraiche, S. Khirani et al. Sleep Medicine 83 (2021) 99–105

children who had a PSG pre or post heart transplantation, 14 had severe OSA and two older patients with severe DM had Cheyne-Stokes respiration, however these patients were older and had more severe HF compared to the patients in our study [27]. Another study on 30 children with CHD found an increased prevalence of OSA (57%), which was associated with cognitive impairment [28]. However, as in our study, OSA was generally mild (88%) or moderate (12%). Similar results were observed in 21 children with congenital cardiomyopathy, with an overall prevalence of SDB of 24% but only one patient had severe OSA and another presented Cheyne-Stokes respiration [29]. Finally, a large cross-sectional retrospective cohort study in infants younger than 1 year with congenital heart disease included in a national database, identified central sleep apnea as a risk factor for in hospital mortality [30]. However due to difference in population characteristics, these data are difficult to compare to our cohort of patients.

Our study is the first to measure the WOB in children with CHF and showed that WOB was moderately increased. Interestingly, CPAP was associated with a significant decrease in WOB and respiratory rate in children with DM. Similar levels of WOB have been observed in 11 adult patients with CHF with also a significant beneficial effect of noninvasive ventilation [31]. Interestingly, the decrease in WOB correlated with the improvement in dyspnoea. Unfortunately, most of our patients were too young for the subjective assessment of dyspnoea but it is noteworthy that at least 5 children felt asleep during CPAP, which may be an indirect marker of dyspnoea relief. As children with CHF have an increase in total energy expenditure (TBE) [32], one may hypothesize that CPAP may decrease the respiratory component of TBE. CPAP therapy thus represents an interesting treatment that may be proposed to improve thriving failure and quality of life in children with CHF, and to reduce morbidity and mortality while awaiting heart

Importantly, CPAP was not associated with a significant change in CI in the present study. Different results have been observed in adult patients. In 22 adult patients with CHF, those with a high pulmonary capillary wedge pressure (PCWP) had an increase in CI whereas a decrease was observed in those with a low PCWP [9], suggesting that the patients who are most likely to benefit from CPAP are those with an elevated baseline left ventricular pressure. In another study of 7 adults with stable CHF, a significant global decrease in CI was observed after 2 h of CPAP at 5 cmH<sub>2</sub>O [10]. Finally, in 10 adult patients with CHF and CSA, large variations in CI were observed for different levels of CPAP, underlining the value of an individual CPAP titration [33]. In conclusion, even if the results concerning the CI were reassuring during the short duration of the study, the checking of CI after a longer CPAP use seems recommended. CPAP should be used with caution in patients with SV, since reducing cardiac preload may lead to a decrease of CO as reported in adult patients with SDB and Fontan circulation [34,35]. Interestingly, in patients with SV we did not observe a deleterious effect of the best CPAP pressure level on CI. One could argue that our patients had different types of palliative surgery (some patients had a Norwood stage 1, others a stage 2 and others a Fontan procedure), with various impacts of intrathoracic pressures changes on pulmonary circulation. Moreover, adult patients presented often with obesity and a reduced lung capacity. On the contrary in our cohort children had normal or low BMI with normal lung function, thus pressure changes may have different effects on pulmonary vascular compliance and cardiac preload.

Our study has several limitations. We planned to recruit 15 patients for each group but this number was only obtained for the group of DM, due to the smaller than expected number of patients with SV and VD. Most of the patients had a moderately severe CHF

on the NYHA classification with only 2 patients having a NYHA IV and 11 having a NYHA III. However, the patients in the present study had a poor prognosis with 7 patients who died or were transplanted within the two years of follow up. The effect of CPAP on WOB was assessed after only 15–20 min of calm breathing. Even if the effect of CPAP on WOB is immediate, the hemodynamic effects may take longer to appear. There was a large variability of the effect of CPAP on WOB and CI between the CHF groups and also among patients of the same group. The patients were evaluated during daytime, preferentially during their usual nap time, and even if some patients fell asleep, this does not correspond to during their usual overnight sleep.

# 6. Conclusion

In conclusion, severe SDB is uncommon in children with CHF with the preservation of an acceptable sleep quality despite betablockers therapy, with only one patient having CSA and none Cheyne-Stokes respiration. WOB was moderately increased. CPAP was associated with a significant decrease in WOB and respiratory rate without a deleterious effect on CO. CPAP could thus be proposed in these children, not to correct SDB but to decrease WOB and respiratory rate. The long-term beneficial effect of CPAP seems worth to be evaluated in larger prospective studies in children with CHF, in particular to improve thriving failure and as a bridge to heart transplant.

# **Funding**

The research of Brigitte Fauroux is supported by the Association Française contre les Myopathies (AFM), Assistance Publique-Hôpitaux de Paris, ADEP Assistance, ASV Santé, S2A Santé, Elivie, and Université de Paris. This study received a specific funding covering the cost of the insurance and the polysomnograph device by Philips Respironics, USA. Philips Respironics was not involved in the collection, analysis or interpretation of the results.

# **Authorship statement**

Prof Brigitte Fauroux and Damien Bonnet participated to the design of the study. Alessandro Amaddeo, Sonia Khirani, Diala Khraiche, Mathilde Meot and Prof Brigitte Fauroux contributed to data collection and analysis of the data. Jean Philippe Jais performed statistical analysis. All the authors contributed equally to the writing of the manuscript and approved the final version.

# Acknowledgments

Josephine Okoronkwo participated as clinical research assistant to the collection of the data.

# **Conflict of interest**

No financial benefits have been received or will be received from any party related directly or indirectly to the subject of this article.

The ICMJE Uniform Disclosure Form for Potential Conflicts of Interest associated with this article can be viewed by clicking on the following link: https://doi.org/10.1016/j.sleep.2021.04.003.

# Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.sleep.2021.04.003.

#### References

- Javaheri S, Brown LK, Abraham WT, et al. Apneas of heart failure and phenotype-guided treatments. Chest 2019. https://doi.org/10.1016/ i.chest.2019.02.407. S0012369219308888.
- [2] Bitter T, Faber L, Hering D, et al. Sleep-disordered breathing in heart failure with normal left ventricular ejection fraction. Eur J Heart Fail 2009;11:602–8. https://doi.org/10.1093/eurjhf/hfp057.
- [3] Oldenburg O, Lamp B, Faber L, et al. Sleep-disordered breathing in patients with symptomatic heart failure: a contemporary study of prevalence in and characteristics of 700 patients. Eur J Heart Fail 2007;9:251–7. https://doi.org/ 10.1016/i.eiheart.2006.08.003.
- [4] Kaye DM, Mansfield D, Aggarwal A, et al. Acute effects of continuous positive airway pressure on cardiac sympathetic tone in congestive heart failure. Circulation 2001;103:2336–8. https://doi.org/10.1161/01.CIR.103.19.2336.
- [5] Wang H, Parker JD, Newton GE, et al. Influence of obstructive sleep apnea on mortality in patients with heart failure. J Am Coll Cardiol 2007;49:1625—31. https://doi.org/10.1016/j.jacc.2006.12.046.
- [6] Javaheri S, Brown LK, Khayat RN. Update on apneas of heart failure with reduced ejection fraction: emphasis on the physiology of treatment Part 2: central sleep apnea. Chest 2020. https://doi.org/10.1016/j.chest.2019.12.020.
- [7] Naughton MT, Rahman MA, Hara K, et al. Effect of continuous positive airway pressure on intrathoracic and left ventricular transmural pressures in patients with congestive heart failure. Circulation 1995;91:1725—31. https://doi.org/ 10.1161/01.cir.91.6.1725.
- [8] Tkacova R, Rankin F, Fitzgerald FS, et al. Effects of continuous positive airway pressure on obstructive sleep apnea and left ventricular afterload in patients with heart failure. Circulation 1998;98:2269-75. https://doi.org/10.1161/ 01.CIR.98.21.2269.
- [9] Bradley TD, Holloway RM, McLaughlin PR, et al. Cardiac output response to continuous positive airway pressure in congestive heart failure. Am Rev Respir Dis 1992;145:377–82. https://doi.org/10.1164/ajrccm/145.2\_Pt\_1.377.
- [10] Liston R, Deegan PC, McCreery C, et al. Haemodynamic effects of nasal continuous positive airway pressure in severe congestive heart failure. Eur Respir J 1995;8:430–5. https://doi.org/10.1183/09031936.95.08030430.
- [11] Cross TJ, Sabapathy S, Beck KC, et al. The resistive and elastic work of breathing during exercise in patients with chronic heart failure. Eur Respir J 2012;39:1449–57. https://doi.org/10.1183/09031936.00125011.
- [12] Lenique F, Habis M, Lofaso F, et al. Ventilatory and hemodynamic effects of continuous positive airway pressure in left heart failure. Am J Respir Crit Care Med 1997;155:500-5. https://doi.org/10.1164/ajrccm.155.2.9032185.
- [13] O'Donnell DE, D'Arsigny C, Raj S, et al. Ventilatory assistance improves exercise endurance in stable congestive heart failure. Am J Respir Crit Care Med 1999;160:1804–11. https://doi.org/10.1164/ajrccm.160.6.9808134.
- [14] American Academy of Sleep Medicine. The AASM manual for the scoring of sleep and associated events: rules, terminology and technical specification. 2007. Iber C.
- [15] Khirani S, Ramirez A, Aloui S, et al. Continuous positive airway pressure titration in infants with severe upper airway obstruction or bronchopulmonary dysplasia. Crit Care Lond Engl 2013;17:R167. https://doi.org/10.1186/ cc12846.
- [16] Lang RM, Badano LP, Mor-Avi V, et al. Recommendations for cardiac chamber quantification by echocardiography in adults: an update from the American Society of Echocardiography and the European Association of Cardiovascular Imaging. Eur Heart J Cardiovasc Imag 2015;16:233-70. https://doi.org/ 10.1093/ehjci/jev014.
- [17] Quiñones MA, Otto CM, Stoddard M, et al. Recommendations for quantification of Doppler echocardiography: a report from the Doppler quantification task force of the nomenclature and standards committee of the American

- society of echocardiography. J Am Soc Echocardio Off Publ Am Soc Echocardio 2002;15:167—84. https://doi.org/10.1067/mje.2002.120202.
- [18] Lee KS, Lennie TA, Heo S, et al. Prognostic importance of sleep quality in patients with heart failure. Am J Crit Care Off Publ Am Assoc Crit-Care Nurse 2016;25:516–25. https://doi.org/10.4037/ajcc2016219.
- [19] Wang T-J, Lee S-C, Tsay S-L, et al. Factors influencing heart failure patients' sleep quality. J Adv Nurs 2010;66:1730–40. https://doi.org/10.1111/j.1365-2648.2010.05342.x.
- [20] Menteer J, Woo MS, So JD, et al. Symptoms of dysautonomia, sleep disturbance, and abnormal cognition in pediatric heart failure. Pediatr Cardiol 2007;28:379–84. https://doi.org/10.1007/s00246-006-0017-0.
- [21] Stoschitzky K, Sakotnik A, Lercher P, et al. Influence of beta-blockers on melatonin release. Eur J Clin Pharmacol 1999;55:111–5. https://doi.org/ 10.1007/s002280050604.
- [22] Scholle S, Scholle H-C, Kemper A, et al. First night effect in children and adolescents undergoing polysomnography for sleep-disordered breathing. Clin Neurophysiol Off J Int Fed Clin Neurophysiol 2003;114:2138–45. https://doi.org/10.1016/s1388-2457(03)00209-8.
- [23] Felix O, Amaddeo A, Olmo Arroyo J, et al. Central sleep apnea in children: experience at a single center. Sleep Med 2016;25:24–8. https://doi.org/ 10.1016/j.sleep.2016.07.016.
- [24] den Boer SL, Joosten KFM, van den Berg S, et al. Prospective evaluation of sleep apnea as manifestation of heart failure in children. Pediatr Cardiol 2016;37: 248–54. https://doi.org/10.1007/s00246-015-1269-3.
- [25] Badheka A, Durden R, Allareddy V. Cheyne-Stokes respiration: poor prognostic sign in a patient with heart failure. BMJ Case Rep 2017. https://doi.org/10.1136/bcr-2017-222056. bcr-2017-222056.
- [26] Al-Saleh S, Kantor PF, Narang I. Impact of heart transplantation on Cheyne-Stokes respiration in a child. Case Rep Pediatr 2016;2016:1–3. https:// doi.org/10.1155/2016/4698756.
- [27] Powell WT, Chen M, Kraft D, et al. Sleep-disordered breathing in pediatric heart transplant recipients. Pediatr Transplant 2021;25:e13888. https:// doi.org/10.1111/petr.13888.
- [28] Combs D, Edgin JO, Klewer S, et al. OSA and neurocognitive impairment in children with congenital heart disease. Chest 2020;158:1208–17. https:// doi.org/10.1016/j.chest.2020.03.021.
- [29] Al-Saleh S, Kantor PF, Chadha NK, et al. Sleep-disordered breathing in children with cardiomyopathy. Ann Am Thorac Soc 2014;11:770–6. https://doi.org/ 10.1513/AnnalsATS.201309-3250C.
- [30] Combs D, Skrepnek G, Seckeler MD, et al. Sleep-disordered breathing is associated with increased mortality in hospitalized infants with congenital heart disease. J Clin Sleep Med JCSM Off Publ Am Acad Sleep Med 2018;14: 1551–8. https://doi.org/10.5664/jcsm.7334.
- [31] Nava S, Teresa Larovere M, Fanfulla F, et al. Orthopnea and inspiratory effort in chronic heart failure patients. Respir Med 2003;97:647–53. https://doi.org/ 10.1053/rmed.2003.1495.
- [32] van der Kuip M, Hoos MB, Forget PP, et al. Energy expenditure in infants with congenital heart disease, including a meta-analysis. Acta Paediatr Oslo Nor 2003;92:921–7. 1992.
- [33] Combes N, Jaffuel D, Cayla G, et al. Pressure-dependent hemodynamic effect of continuous positive airway pressure in severe chronic heart failure: a case series. Int J Cardiol 2014;171:e104–5. https://doi.org/10.1016/j.ijcard.2013. 12.007.
- [34] Nanayakkara B, Lau E, Yee B, et al. Sleep disordered breathing in adults living with a Fontan circulation and CPAP titration protocol. Int J Cardiol 2020;317: 70–4. https://doi.org/10.1016/j.ijcard.2020.05.060.
- [35] Watson NF, Bushnell T, Jones TK, et al. A novel method for the evaluation and treatment of obstructive sleep apnea in four adults with complex congenital heart disease and Fontan repairs. Sleep Breath Schlaf Atm 2009;13:421–4. https://doi.org/10.1007/s11325-009-0260-8.