



STEENCORE

MINING & ENERGY

www.steencore.com

SUPPLIER
REGISTRATION

WELCOME ABOARD!

STEENCORE Mining & Energy believes that partnerships and collaborations are the only way to succeed in a global economy. We are committed to our supplier-relationships as it is consistent with our belief that we are stronger, working together and we are indomitable, as a team.

Internally, STEENCORE has developed a Partnership Development Council, to identify Prospective Suppliers who fit or have the capabilities to fit our Partnership Criteria. The Partnership Development Council then assists and mentors all nominated Prospective Suppliers through the compliance and risk analysis process. Upon completion, the Partnership Development Council along with the Board of Directors will make the final assessment on the Prospective Supplier, its partnership value and contractual scope STEENCORE can offer.

Externally, it begins with an exchange of Know Your Client (KYC) or, "Corporate and Financial Profiles." Our commercial relationships are based upon transparency as we cannot be strong partners to each other, without knowing the the strength and weaknesses of the team.

At STEENCORE we believe that, "Coming together is a beginning, keeping together is progress, working together is success.(Henry Ford)" We look forward to welcoming you to the STEENCORE TEAM!

Sincerely,



DS2019 - Rotterdam

Antony Warnars, Managing Director
STEENCORE Mining & Energy

STEENCORE

MINING & ENERGY

Hong Kong | Denver | Lima | Rotterdam | Lubumbashi

Standards for Suppliers offers

Our contractors and suppliers are required to be compliant with our policies in order to fulfill contractual obligations and to gain a better understanding of our goals and expectations. Current or prospective contractors or suppliers should familiarize themselves with these policies.

Minimum Requirements for Suppliers

Supplier Selection Criteria

Successful supplier candidates for Steencore should demonstrate the following qualities:

- Competitive and "value added" capabilities
- An understanding of Steencore's business
- Ability to comply with insurance requirements
- Quality procedures and processes, ISO, or others appropriate to the industry
- High ethical standards

Steencore Code establishes non-negotiable minimum standards that we ask our suppliers, their employees and agents to respect and to adhere to always when conducting business. The Steencore is an integral part of all purchase orders, supply contracts and is being integrated into all our commercial agreements. The Steencore Code is implemented in each market and business and is applicable to all suppliers.

We use only the safest procedures to make our trade negotiations successful and profitable, for our trade rules we always apply UCP 600 Banking Rules, Incoterms 2010 Delivery rules, URC 522 Collection Rules and URPIB Intermediary protocol.

NOTE: Steencore, BV reserves the right to select suppliers who will participate in the procurement process. Steencore cannot and will not promise to transact business with all suppliers. We anticipate that all suppliers will honor Steencore Code of Business Conduct and demonstrate compliance with all laws and regulations.

It should be submitted by emailed to compliance@steencore.com or mailed in hard-copy to the Steencore Compliance Office or by hard copy to:

Steencore BV
Attn: Compliance Department
Max Euwelaan 21-29
3062 MA, Rotterdam
The Netherlands

Disclosure of Corporate and/or Private Information:

We are conscious that any business or personal information that you provide is considered-sensitive and needs to be handled with exceptional care and consideration.

We promise that all information that you provide to us verbally or in writing, will be kept within Steencore BV and will be held strictly confidential, unless required by law.

Best Regards,
Management, Steencore BV



KNOW YOUR CLIENT (KYC) FORM

Must be completed by new clients

1. Client Details

1.1	Full Legal Client Name:	<input type="text"/>	Trading Name (if different):	<input type="text"/>
1.2	Incorporation Date:	<input type="text"/>	State/Nation of Incorporation:	<input type="text"/>
1.3	Registered Address of Head Office:	<input type="text"/>		
1.4	Postal Code:	<input type="text"/>	Registration Number:	<input type="text"/>
1.5	Town / City:	<input type="text"/>		
1.6	Telephone Number:	<input type="text"/>	Fax Number:	<input type="text"/>
1.7	Mobile Number:	<input type="text"/>		
1.8	E-Mail Address:	<input type="text"/>	Contact of Counterparty:	<input type="text"/>
1.9	Website:	<input type="text"/>		

2. Company Identification

2.1	Certificate of Incorporation or Memorandum / Articles of Association:	<input type="text"/>	Please tick if attached	Please provide copies of these documents in English Language or with an English Translation.
2.2	Names of Directors:	<input type="text"/>		
2.3	Names and shareholding percentage of Beneficial Owners:	<input type="text"/>		

3. Company Details

3.1	Company Status: (Please Tick)	3.4	Company Type: (Please Tick)
<input type="checkbox"/>	Public Company	<input type="checkbox"/>	Physical Trader
<input type="checkbox"/>	Private Company	<input type="checkbox"/>	Shipping Agent
<input type="checkbox"/>	Partnership	<input type="checkbox"/>	Ship Owner (Ships' Q88 are required)
<input type="checkbox"/>	State or Government Entity	<input type="checkbox"/>	Swap Broker
<input type="checkbox"/>	Individual	<input type="checkbox"/>	Other- Please Specify
3.2	If a publicly quoted company, please name Stock Exchange(s) and listing(s)	<input type="text"/>	Trades
3.3	Parent/Holding Company and/or Ultimate Beneficial Owner	<input type="text"/>	

Please note the beneficial owner must be a public limited company, state owned, or an individual. If the majority shareholder of a company is another privately owned company, we need to identify the ultimate beneficial owner(s) (i.e. any person who owns or controls 25% or more of the interests within the company). If this applies to any one of the shareholders, please provide us with: the Shareholder's name, Date of Birth and Nationality (if an individual). If the Shareholder is a company or government, please provide us with the Company's Shareholder structure.

Must be completed by clients

4. Banking

4.1 Principal Bankers:

4.2 Bank Branch Address Details:

4.3 Bank City & Country:

4.4 Bank SWIFT code:

5. Trade Under Consideration (if applicable)

5.1 Proposed Business with Steencore LLC Buying: (And / Or) Selling:

5.2 What are the envisaged trade details?

5.3 Specify the product or goods:

5.4 Specify approximate volume or quantity:

5.5 Specify the price formula: Fixed(USD): (And / Or) Floating:

6. Other Documents Required

- 1 - Company Profile
- 2 - Trade License Copy
- 3 - 2 Bank References Letters
- 4 - Last 3 years Audited Financial Report
- 5 - 3 Trade References

7. Declaration

We declare that our company as specified in this KYC have not entered any trade directly or indirectly in the past 3 years with any countries or states or organizations or companies or individuals sanctioned or blacklisted or banned by UN, EU and USA.

We further declare that our company and or individuals working for/with us have never been sanctioned or blacklisted or banned by UN, EU and USA.

8. Application Certification

The undersigned, hereby declare that, to the best of my knowledge, the information provided on this form and any accompanying documentation is truthful, correct and complete.

Name of person completing this Questionnaire (Print): _____ Date: _____

Position: _____ Company Seal: _____

Declaration by STEENCORE LLC

Name and/or identity search conducted using prescribed "Special Reference Listing" e.g. Sanction Lists (OFAC, UN, EU), Blacklists etc,?
YES NO

Name and registered address verified and supported by one of the following accepted documents:

- Certificate of Incorporation
- Partnership Deed
- Certificate from the registered of societies/business Trading address, if not the same as above official documents, is verified seperately and evidence of verification documented on file? YES NO

Name of person verifying this Questionnaire (Print): _____ Date: _____

Position: _____ Company Seal: _____



DOCUMENT CHECKLIST

Please attach copies of the following applicable documentation requests, to the application.

- Basic Company Information
 - Legal Registration Documentation
 - Partnership Documentation
 - Incorporation Documentation
 - Export/Import Licenses
 - Manufacturing Certification
- Corporate Officers
 - CEO/Managing Director Passport/ID
 - Director(s) Passport/ID

Prospective Suppliers can register their KYC online at: www.steencore.com

Or, email application and documents to:
compliance@steencore.com

NOTICE!

Please note: All the fields of the document shall be supported by duly certified current extract from local Trade and Commercial Register or equivalent document, evidencing the existence and good standing of the Supplier/Company, its legal address, details of the Directors Shareholders, Ultimate Beneficial Owners (UBOs) (if applicable), their names, places of registration and percentage of participation.

All questions and request for assistance can be forwarded to the STEENCORE Client Services:





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 (+31) 10 268 1580

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