



**Pensions
Management
Institute**

Core Unit 2 - Regulation of Retirement Provision

October 2021

Centre Name	<input type="text"/>
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There are two parts to the question paper.

Part One Contains 50 multiple choice questions. Each question shows **FOUR** possible answers (lettered 'a', 'b', 'c' and 'd') only **ONE** is correct. Attempt **ALL** questions. (not attached to this sample paper)

Part Two Contains several short answer questions. Attempt **ALL** questions. (attached below)

It is suggested equal time is spent on both parts of the question paper.

Question Number: 1

Explain the main distinctions between a trust and a contract.

9 marks

Question Number: 2

Outline the impact on pension rights of adoption, maternity, paternity or parental leave (family leave).

7 marks

Question Number: 3

Write notes on the Financial Conduct Authority's 6 Principles of Good Regulation.

7 marks

Question Number: 4

The Pensions Regulator (TPR) has the power under section 7 of the Pensions Act 1995 to appoint pension scheme trustees in certain circumstances.

List the circumstances in which TPR might use this power.

5 marks

Question Number: 5

a) Outline the importance of effective member communications. (5 Marks)

b) What is good practice when communicating with members? (5 Marks)

10 marks

Question Number: 6

In corporate transactions, outline the differences between a share sale and a business sale.

7 marks

Question Number: 7

Briefly outline what was covered in The Equality Act 2010.

5 marks