

## Core Unit 4 -Financing and Investing for Retirement Provision

Wednesday 9th October 2024

2.00 PM – 4:30 PM

Time Allowed:

2 Hours 30 minutes

Pass Mark - 65

1. Answer **ALL** the questions.
2. Type all your answers in the answer box provided.
3. It is suggested to allocate your time according to the proportion of marks each question attracts.

**Question Number: 1**

Describe the 4 most common valuation techniques used to value shares

**Marks****Total Marks 10****Question Number: 2**

Explain what is meant by pooled and segregated investment approaches and list the main differences between them

**Marks****Total Marks 5****Question Number: 3**

Describe a buy-in and a buy-out, explaining the key features of each.

**Marks****Total Marks 20****Question Number: 4**

Outline the three broad categories of investment fund used in DC arrangements.

**Marks****Total Marks 7****Question Number: 5**

Describe the ways in which trustees may invest in infrastructure.

**Marks****Total Marks 12****Question Number: 6**

Explain the principles of day to day cash management of a trustee bank account

**Marks****Total Marks 5**

**Question Number: 7**

List the types of pension arrangement which do not require an auditor

**Marks****Total Marks 6****Question Number: 8**

Describe the factors affecting bond values

**Marks****Total Marks 15****Question Number: 9**

Outline the general factors which should be taken into account by trustees when selecting an investment manager

**Marks****Total Marks 20**