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**Deep Excavation using PLAXIS 2D**



**1.Design Criteria 2.Soil Model in Deep Excavation 3.Structural Elements 4.PLAXIS 2D Simulation 5.Results**



#### Part 1: Design Criteria



## Deep Excavation

• Definition: An excavation with depth of 3 m or more (SNI 8460 Sect. 11.1).





## Types of Embedded Wall





## Design Criteria (1/2) – Stability Criteria





## Design Criteria (2/2) – Other Criteria





#### Vertical Stability

Vertical stability criteria : 1. Against sliding.



Schematic of forces contributing to vertical stability

 $SF =$ F\_act F\_pas  $\geq 1.5$ 

2. Against overturning.

$$
SF = \frac{M\_mob}{M\_sta} \ge 2.0
$$

Approaches for checking vertical stability:

- Plastic yield strength: Rankine/Coulomb method.
- Beam on elastic foundation.
- Finite element / finite difference software.

When struts and/or ground anchors are required  $\rightarrow$ Difficult to be solved with hand calculation.

 $\rightarrow$  PLAXIS2D will be used for simulation.



### Global Stability



Slip surface in global stability

Global stability criteria : 1. Static condition.

$$
SF = \frac{F\_mob}{F\_sta} \ge 1.5
$$

2. Seismic condition.

$$
SF = \frac{F\_mob}{F\_sta} \ge 1.1
$$

Approaches for checking global stability:

- Limit equilibrium analysis.
- Finite element / finite difference software.

Both vertical stability and global stability can be checked in PLAXIS2D using safety analysis (a.k.a phi-c reduction analysis in older version).

 $\rightarrow$  PLAXIS2D does not separate vertical stability check and global stability check, safety analysis will yield only the SF for governing mechanism.



## Hydraulic Heave Stability



Hydraulic Heave Stability

Heave stability criteria (SNI 8460 Sect. 10.3.6.7):

- 1. Basal heave stability  $\rightarrow$  SF  $\geq$  1.25.
- 2. Blow-in SF  $\rightarrow$  SF  $\geq$  1.25.
- 3. Piping SF  $\rightarrow$  SF  $\geq$  1.5.

**Basal heave failure** is the heaving of soil at the bottom of excavation due to uplift pressure.

**Blow-in failure** is the "puncture" of aquifer due to high head pressure at the aquifer which is situated below an aquitard layer.

**Piping failure** materialize as an internal erosion process which depletes the fine soils or in an extreme case unfolds as sand boiling case; it is caused by high exit gradient flow.

 $\rightarrow$  PLAXIS2D may simulate the pore water pressure using either phreatic, steady-state, or transient condition.



# Plastic Limit (Structural Elements)



#### **Embedded Wall**

Criteria: The exerted moment force shall not be greater than the embedded wall moment capacity.

#### **Struts**

Criteria: The exerted axial force shall not be greater than the strut axial compressive capacity (usually governed by strut buckling capacity).

#### **Anchors**

Criteria: The axial tensile force shall not be greater than the steel bar axial tensile capacity and the grout frictional resistance.

 $\rightarrow$  Using PLAXIS2D, internal forces in structural elements can be checked after each step of stagedconstruction simulations.



# Deflection Limit (Embedded Wall)



Deflection Limit (Embedded Wall) Criteria: Within allowable wall lateral deflection δh  $\rightarrow$ 0.5% H (SNI 8460 Sect. 10.3.8.2) and between 0.5% to 1.0% H depending on the proximity of excavation with other buildings/infrastructures (SNI 8460 Table 51).

Sometimes stricter criteria should apply, especially if there are existing facilities/buildings in the vicinity of pit excavation).

 $\rightarrow$  In PLAXIS2D, deflection of some selected points can be tracked during the staged-construction simulations.



## Settlement Limit



Settlement Limit (Upstream Face)

Criteria: Settlement δv shall be within allowable limit, the limiting value usually depends on the facilities/buildings in the vicinity of pit excavation.

Settlements are contributed by:

- 1. Settlement due to wall lateral movement.
- 2. Settlement due to a reduction of ground water level (e.g. seepage, dewatering).

As per SNI 8460 Sect. 10.3.8.1, in order to avoid adverse effect of settlement caused by GWL reduction; the decrease of GWL shall be no greater than 2.0 m (unless it can be proven otherwise is safe).

 $\rightarrow$  The performance of displacement prediction largely depends on the selected soil model; MC model is typically inaccurate for predicting displacement.



# Summary of Design Criteria





#### Part 2: Soil Model in Deep Excavation



## Soil Model

- Key limitations of Mohr-Coulomb model:
	- Soil is linear-elastic before failure, without compression/shear hardening.
	- Non stress-dependent stiffness.
	- Elastic modulus is typically taken as  $E_{50}$ , which will produce lots of unrealistic heave in unloading case.
- Considering that the deflection limit will be checked; soil layers that are expected to be part of global stability failure mode; they will be modeled with Hardening Soil (HS) Model (Duncan-Chang hyperbolic model).
	- HS model provides both isotropic hardening and shear hardening, as well as stress-dependent stiffness.
	- HS model has two extra plastic parameters for simulating isotropic and shear hardening:  $E_{oed}^{ref}$  and  $E_{50}^{ref}$ .
	- Dominant failure mode in excavation case: Shear failure  $\Rightarrow E_{50}^{ref}$  in HS model is important !
- For simplicity, the deep underlying soil (deeper than 23.8m), they will simply be modeled with Mohr-Coulomb (MC) Model.



## MC and HS: Key Differences





#### MC and HS: Key Differences





#### **Boring Log (1/3)**





**Boring Log (2/3)**





#### **Boring Log (3/3)**



(CS) GeoStruktur





#### Index Property Tests





## Overview of Soil Properties



\* Only short-term condition is shown in current example.



#### Layer 1: Soil Data Fill Soil (0.0 – 3.7)

- Soil Model: Hardening Soil Model.
- Soil data:
	- SPT  $\rightarrow$  N-value = 4.
	- Atterberg limits  $\Rightarrow I_p = 45\%$ .
	- Degree of saturation  $\rightarrow$  SR = 100%
	- Triaxial UU  $\Rightarrow$   $c_u = 20$  kPa.
	- Consolidation test  $\rightarrow OCR \approx 3$ .
- Plastic parameters:
	- $E_u^{50} \approx 250c_u = 5000$  kPa (see chart).
	- $E_{50} \approx 0.7 E_{u}^{50} = 3500$  kPa.

• 
$$
E_{50}^{ref} = E_{50} \left( \frac{p_{ref}}{\sigma_3'} \right)^1 = 3500 \left( \frac{100}{(2)(7)+17} \right) = 11290 \text{ kPa.}
$$
  
•  $E_{oed}^{ref} \approx \frac{50000}{I_p\%} = 1111 \text{ kPa.}$ 

- For soft clay:  $E_{ur}^{ref} \approx 20 E_{oed}^{ref} = 22220$  kPa.
- Assume  $\varphi' = 25^{\circ}$  (to be adjusted).





#### Layer 1: Soil Parameter Fill Soil (0.0 – 3.7)





PLAXIS SoilTest, undrained TX, PQ-plane, p' = 31 kPa



## Layer 2: Soil Data Loose-Medium Sand (3.7 – 8.0)

- Soil Model: Hardening Soil Model.
- Soil data:
	- SPT  $\rightarrow$  N-value = 2-13.
	- CPT  $\rightarrow$  Avg  $q_c = 27$  kg/cm<sup>2</sup>.
- Plastic parameters:
	- $E_{\text{oed}} \approx 4q_c = 10800$  kPa  $\rightarrow$  [Lunne and Christoffersen, 1983].

• 
$$
E_{oed}^{ref} = E_{oed} \left(\frac{p_{ref}}{\sigma_3'}\right)^{0.5} = 10800 \left(\frac{100}{17 + (2.5)(7) + (1.5)(17)}\right)^{0.5} = 13943
$$
 kPa.  
•  $E_{50}^{ref} \approx E_{oed}^{ref}$ .

- For sand:  $E_{ur}^{ref} \approx 5E_{oed}^{ref} = 69714$  kPa.
- Assume:  $\varphi' = 30^{\circ}$  (low relative density)



#### Layer 2: Soil Parameter Loose-Medium Sand (3.7 – 8.0)





PLAXIS SoilTest, drained TX, p' = 60 kPa

Contraction during shearing



## Layer 3: Soil Data Very Soft Clay (8.0 – 16.3)

- Soil Model: Hardening Soil Model.
- Soil data:
	- SPT N-value  $\rightarrow$  1.
	- Multistage Triaxial CU  $\Rightarrow$   $\varphi' = 22.8$ .
	- Atterberg limits  $\rightarrow I_p = 58\%$ .
	- Vane shear  $\Rightarrow S_u(16m) = 88$  kPa.
- Plastic parameters:
	- $E_{u,lab}^{50} = (90/2)/0.02 = 2250$  kPa.
	- $E_{50} \approx 0.7 E_u^{50} = 1575$  kPa.

• 
$$
E_{50}^{ref} = E_{50} \left( \frac{p_{ref}}{\sigma_3'} \right)^1 = 1575 \left( \frac{100}{120} \right) = 1313 \text{ kPa.}
$$
  
•  $E_{oed}^{ref} \approx \frac{50000}{I_p\%} = 862 \text{ kPa.}$ 

• For soft clay:  $E_{ur}^{ref} \approx 15 E_{oed}^{ref} = 12930$  kPa.



Deviatoric-axial strain curve of multistage CU-TX using confinement stress of 30, 60 and 120 kPa



#### Layer 3: Soil Parameter Very Soft Clay (8.0 – 16.3)





PLAXIS SoilTest (blue), undrained TX, p' = 120 kPa in deviatoric stress vs axial strain

- For axial strain less than 5%, blue curve fits quite well with red curve.
- At large strain, the deviatoric stress of blue curve is smaller than red curve  $\rightarrow$  Conservative.



#### Layer 3: Comparison with Vane Shear





## Layer 3: Soil Data Medium Stiff Clay (16.3 - 23.8)

- Soil Model: Hardening Soil Model.
- Soil data:
	- SPT N-value  $\rightarrow$  4-12.
	- Multistage Triaxial CU  $\rightarrow \varphi' = 17.7$ .
	- Atterberg limits  $\Rightarrow I_p = 51\%$ .
- Plastic parameters:
	- $E_{u,lab}^{50} = (125/2)/0.025 = 2500$  kPa.
	- $E_{50} \approx 0.7 E_{u}^{50} = 1750$  kPa.

• 
$$
E_{50}^{ref} = E_{50} \left( \frac{p_{ref}}{\sigma_3'} \right)^1 = 1750 \left( \frac{100}{200} \right) = 875 \text{ kPa.}
$$
  
 $E_{50}^{ref} = 50000 \left( \frac{100}{200} \right)^1 = 1750 \left( \frac{100}{200} \right) = 875 \text{ kPa.}$ 

• 
$$
E_{oed}^{ref} \approx \frac{50000}{I_p\%} = 980
$$
 kPa.

• For stiff clay:  $E_{ur}^{ref} \approx 5E_{oed}^{ref} = 4900$  kPa.



Deviatoric-axial strain curve of multistage CU-TX using confinement stress of 50, 100 and 200 kPa



#### Layer 4: Soil Data Medium Stiff Clay (16.3 – 23.8)





PLAXIS SoilTest (blue), undrained TX, p' = 200 kPa in deviatoric stress vs axial strain



#### Layer 5-8: Soil Parameter (Mohr-Coulomb) Deep Underlaying Layer





#### Part 3: Structural Elements: Plate, Anchor, EBR



## Structural Elements in PLAXIS





**Schematic of deep excavations**



# Structure: Embedded Wall (Plate)



Young Modulus (Concrete)  $E = 4700 \sqrt{f'_c} = 25743$  MPa. Area of secant pile  $A = 0.703648 \text{ m}^2/\text{m}$ 

Inertia of secant pile  $I = 0.047578 \text{ m}^4/\text{m}$ 

Model: Plate Element Material: Elastoplastic

Plate Properties:

- $EA = 22.642.10^6 \text{ kN/m}$
- $EI = 1.531.10^6 \text{ kN-m}^2/\text{m}$

 $v = 0.15$ 

•  $w = 21 \text{ kN/m/m}$ 

 $M_p = 750 \text{ kN-m/m}$ 



# Ground Anchor: Pullout Capacity

- Ground anchor pullout capacity (SNI 8460 Sect. 10.6.4.4) is calculated as follows :
	- Cohesive soil:  $P_u = \alpha A_s L_s S_u$
	- Non-cohesive soil:  $P_u = \sigma_v' A_s L_s K_s$
- Where:
	- $P_u$  = Pull-out resistance.
	- $A_s$  = Unit shaft area of anchor fixed length.
	- $L_s$  = Anchor effective length.
	- $\alpha$  = Adhesion factor.
	- $S_{11}$  = Avg und. shear strength along fixed length.
	- $\sigma'_{v}$  = Eff. ver. stress at the midpoint of fixed length.
	- $K_s$  = Anchorage coefficient.





## Structure: Anchor UL (Node-to-Node Anchor)

- Prestressing bar properties:
	- Diameter 36 mm.
	- ASTM A722 Grade 150.
- Prestressing bar capacity:
	- Ultimate Capacity  $\Rightarrow F_u = f_{pu} A_s = (1035 MPa)(1018mm^2) = 1053$  kN.
	- Prestressed to 80% of capacity  $\rightarrow$  80% x 1053 kN = 843 kN.
	- Allowable capacity, SF = 1.4 (Table 49 SNI 8460)  $\rightarrow$  843 kN / 1.4 = 602 kN.

Model: Node-to-Node Anchors. Material: Elastoplastic

Input for PLAXIS2D:

- $EA = 214.10^3$  kN
- $$
- $F_{max, tens} = 602$  kN



## Structure: Anchor BL (EBR)

- Anchor properties:
	- Anchor diameter = 150 mm.
	- Undrained shear strength = 180 kPa.
	- Adhesion factor  $= 0.4$ .
- Anchor pullout capacity:
	- Ultimate capacity (cohesive soil)  $\Rightarrow P_u = \alpha A_s L_s S_u = 34$  kN/m.
	- Allowable capacity SF = 2.0 (Table 49 SNI 8460)  $\Rightarrow P_a = 17$  kN/m.



Model: Embedded beam rows. Input for PLAXIS2D:

• Material: Elastic

• 
$$
E = 2.1.10^8 \text{ kN/m}^2
$$

- $Dia = 36$  mm
- $\gamma = 50 \text{ kN/m}^3$

• 
$$
Spacing = 1.5 \, \text{m}
$$

$$
\bullet \quad T_{skin} = \frac{127}{6} = 17 \text{ kN/m}
$$

• 
$$
F_{max} = 0
$$
 kN



## Ground Anchor: Other Requirements

- Minimum free length shall be 3 m for tendon bar and 4.5 m for strand (SNI 8460 Sect. 10.6.4.3).
- Minimum fixed length shall be 3 m with a maximum fixed length of 13 m; a length > 13 m can be used if it can be proven through pullout test (SNI 8460 Sect. 10.6.4.3).
- Ground anchor fixed length shall be installed at least 5 m from ground elevation (SNI 8460 Sect. 10.6.4.2a).
- Anchor fixed-length shall be embedded in a competent soil layer: (1) Sand with SPT N-value ≥ 25; (2) Clay with SPT N-value  $\geq 20$  elevation (SNI 8460 Sect. 10.6.3.1).
- Horizontal spacing shall be at least 1.5 m for anchor with diameter ≤ 0.2 m (SNI 8460 Sect. 10.6.4.2c).



# Structure: Slab/Strut (Fixed-End Anchor)

- Slab properties:
	- Concrete strength = 30 MPa.
	- Slab thickness = 200 mm.

Model: Fixed-end anchor. Input for PLAXIS2D:

- Material: Elastic
- $EA = 5.15.10^6 \text{ kN/m}^2$



## Other Design Requirements

- Additional load (1 ton/m<sup>2</sup>) of 10 m width shall be given in the upstream face of embedded wall (SNI 8460 Sect. 10.3.5.4.1 and 10.3.6.4).
- Over-excavation shall be considered  $\rightarrow$  10% H<sub>unbraced</sub>  $\leq$  0.5 m (SNI 8460 Sect. 10.3.5.3.2(a-b)).
- In principle, analysis has to be performed using soil parameters that will produce the most critical condition. If it is not known, either undrained condition or drained condition, is the most critical condition; analysis has to be performed for both conditions (SNI 8460 Sect. 10.3.6.5).



#### Part 4: PLAXIS 2D Simulation Short-Term Condition



#### Stress Path: Drained vs Undrained



45

#### Set Borehole Data





#### Set Material Data

OK





#### Assign Soil Data





#### Create Structural Element





#### Generate Mesh





#### Initial Flow Condition





## Initial Cond: Gravity Loading





## Phase 1: Embedded Wall + CST Load





## Phase 2: Excavation (1)





## Phase 2: Excavation  $(1)$  – Flow Condition





## Phase 3: Ground Anchor (1)





### Final Phase





#### Part 5: Results Short-Term Condition



#### Results: Heave Displacement





#### Results: Settlement at Upstream Face





## Point Tracking: Lat. Displacement





## Embedded Wall: Lateral Displacement





## Embedded Wall: Shear Force





## Embedded Wall: Moment Force





# Safety Analysis





## Results: Deformed Mesh





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#### **Thank you for your attention!**

